PROGRAM OFFICE SALARIES AND EXPENSES OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY

Program Area Overview

The Office of Fair Housing and Equal Opportunity (FHEO) plays a pivotal role in the successful achievement of the Department's strategic goals. In fiscal year 2014, the Department included a series of activities reflecting its commitment to affirmatively furthering fair housing as a key component in the Strategic Plan and as a policy priority in HUD's NOFAs.

FHEO's work cuts across the Department and its programs. FHEO is responsible not only for investigating and overseeing the investigation of all Fair Housing Act, Title VIII of the Civil Rights Act of 1968, complaints filed in the country, but also for compliance reviews, investigations and outreach to bring HUD program partners into compliance with all applicable civil rights laws such as Title VI of the Civil Rights Act of 1964, Section 109 of the Housing and Community Development Act of 1974, Section 504 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, Section 3 of the Housing and Urban Development Act of 1968, the Age Discrimination Act of 1975, Title IX of the Education Amendments Act of 1972, and the Architectural Barriers Act of 1968. The inclusion of affirmatively further fair housing in the Department's strategic plan and as a policy priority in the Department's Notice of Funding Availability illustrate the Department's commitment to this responsibility.

FHEO's recently renewed mission statement is "To eliminate housing discrimination, promote economic opportunity, and achieve diverse, inclusive communities by leading the nation in the enforcement, administration, development, and public understanding of federal fair housing policies and laws." FHEO's cardinal mission, therefore, is to create equal housing opportunities for all persons living in America by administering laws that prohibit housing discrimination on the basis of race, color, religion, sex, national origin, age, disability, and familial status.

FHEO is the lead office for ensuring that the Department and recipients of HUD funding comply with their duty to affirmatively further fair housing, with a proposed new rule and the development of training and technical assistance content underway. This responsibility affects almost every program in the Department. Preparing for, and implementing, the new final rule, involves primarily the Offices of Fair Housing and Equal Opportunity, Public and Indian Housing and Community Planning and Development and will create significant additional levels of work. In addition to enforcement of federal housing civil rights laws, FHEO staff manages more than 8,500 complaint investigations through the Fair Housing Assistance Program (FHAP). This program funds approximately 90 state and local government Fair Housing Act enforcement agencies. FHEO administers and oversees the Fair Housing Initiatives Program (FHIP) funding more than 200 private fair housing groups and non-profits nationally through a grant

award process. Furthermore, FHEO also enforces Section 3 of the Housing and Urban Development Act of 1968 and provides oversight and technical assistance to local housing authorities and community development agencies to ensure that HUD investments result in economic opportunities for low income individuals, public housing residents, and the businesses that employ them. The Section 3 area is also identified in the 2014 Strategic Plan as a policy priority.

TOTAL - SALARIES AND EXPENSES					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$66,888	\$67,356	\$75,874	\$8,518	
Non-Personnel Services					
Travel	864	800	856	\$56	
Rent, Communications, Utilities	1	10	15	\$5	
Printing and Reproduction	17	10	15	\$5	
Other Services	418	600	590	(\$10)	
Training	186	200	250	\$50	
Supplies	24	24	29	\$5	
Non-Personnel Subtotal	1,510	1,644	1,755	111	
GRAND TOTAL	68,398	69,000	77,629	8,629	
Associated FTE	\$543	\$534	\$595	\$62	

• Pursuant to Senate Report 113-45, the travel funds for fiscal year 2015 include approximately \$102K dedicated to grantee oversight.

DESCRIPTION OF CHANGE FROM FY 2014 TO FY 2015

FHEO requests \$77,629K and 595.3 FTE in fiscal year 2015, with an increase of \$8,629K and 61.6 FTE from fiscal year 2014 enacted by \$69,000K and 533.7 FTE. A nominal increase in funding is included to fund the pay raise, promotions and within grade increases.

- Personnel Services: An increase of \$8,518K and 61.6 FTE to support the implementation of Affirmatively Furthering Fair Housing Rule (AFFH) and strengthen enforcement.
- Non-Personnel Services: An increase of \$111K.
 - o Increase in Travel by \$56K
 - o Increase in Rent, Communications and Utilities by \$5K
 - o Increase in Printing and Reproduction by \$5K
 - o Decrease in Other Services by \$10K
 - o Increase in Training by \$50K
 - o Increase in Supplies by \$5K

FHEO is requesting a modest increase in travel to cover additional expenses associated with AFFH. With the exception of Other Services all other Non-Personnel Services categories reflect a very modest increase.

FHEO continues to recognize the need for employees to stay current in their career arena, be well versed in the latest techniques, laws, technology, etc., and show proof of this education. FHEO will continue to utilize the National Fair Housing Training Academy (NFHTA) to access training tailored to fair housing investigators, conciliators, and administrators. The Academy provides advanced courses as well as a 5-week certification program for fair housing investigators and a new week long course on fair housing investigations. This program covers such topics as Fair Housing Law and Ethics; Critical Thinking and Investigation; Interviewing Techniques; Standards for Testing Cases and Negotiation Skills for Investigators. The fiscal year 2015 Budget proposed funding level will allow employees to access these training sessions through classroom training and online classes, which will also reduce travel cost relating to training. In addition, FHEO will provide large group training to close skill gaps in critical thinking and critical writing offered through HUD Virtual University (HVU) and will develop employees' Individual Training Action Plan (ITAP) to include training offered through this venue.

BUDGET REQUEST BY FUNCTION

Function Title: Compliance and Monitoring

Function Description: Compliance and Monitoring provides 206.7 FTE to perform activities associated with remote and on-site monitoring of HUD funded recipients and conducting associated compliance reviews including work associated with AFFH implementations. This function also entails ensuring implementation of, and compliance with, Title VI of the 1964 Civil Rights Act, Section 504 of the 1973 Rehabilitation Act, Section 3 of the Housing and Urban Development Act of 1968 and Title II of the Americans with Disabilities Act, the Age Discrimination Act, and the Architectural Barriers Act.

Compliance and Monitoring					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$19,690	\$20,711	\$26,344	\$5,633	
Non-Personnel Services					
Travel	255	242	297	\$55	
Other Services	7	51	51	-	
Training	55	64	87	\$23	
Supplies	7	7	10	\$3	
Printing	10	-	-		
Non-Personnel Services Subtotal	334	364	445	81	
GRAND TOTAL	\$20,024	\$21,075	\$26,789	\$5,714	
Associated FTE	159.9	164.1	206.7	42.6	

- For fiscal year 2015, FHEO is requesting 206.7 FTE an increase of 42.6 FTE above fiscal year 2014 enacted level. Headquarters' FTE will review and provide training and technical assistance to support over 139 compliance reviews and field FTE will be responsible for monitoring over 1,245 Community Planning and Development (CPD) grantee activities, over 3,000 Public Housing Agencies (PHAs) and over 2,000 Section 202/811 activities for compliance with civil right laws.
- FHEO requests \$297K in Travel. This is a \$55K increase from fiscal year 2014 to support increase in compliance, monitoring, and AFFH activities.
- FHEO requests \$87K for Training to maintain a highly skilled workforce to ensure that best practice concepts and state-of-the-art technology are used to accomplish its mission. Funding at this level is an increase of \$23K from fiscal year 2014 enacted level.
- FHEO requests \$10K for Supplies, an increase of \$3K from fiscal year 2014. This amount includes funds to renew subscriptions for substantive materials on fair housing and civil rights for headquarters and the ten regional offices.

Function Title: Investigations

Function Description: Investigations include 240.9 FTE to perform all activities associated with conducting investigations under Title VIII of the Civil Rights Act of 1968 and related Fair Housing statutes, including oversight and technical assistance. This includes investigating, conciliating and recommending issuance of charges of discrimination and Determinations of Non-compliance for complaints under Title VIII and other civil rights authorities.

	Investigations				
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$28,925	\$28,320	\$30,704	\$2,384	
Non-Personnel Services					
Travel	374	339	346	\$7	
Other Services	350	479	465	(\$14)	
Training	80	83	101	\$18	
Supplies	10	10	12	\$2	
Non-Personnel Services Subtotal	814	911	924	13	
GRAND TOTAL	\$29,739	\$29,231	\$31,628	\$2,397	
Associated FTE	234.9	224.4	240.9	16.5	

- An allocation of 240.9 FTE, an increase of 16.5 FTE above fiscal year 2014 enacted level. These FTE will close, on an annual basis, approximately 2,000 Title VIII cases and another 850 complaints under other authorities.
- FHEO requests \$346K in Travel to support the investigation of complaints. This is a \$7K increase from fiscal year 2014 to support field complaint investigations.

- FHEO requests \$465K in funding to support Other Services; this is a \$14K decrease from fiscal year 2014. These funds entail contracts for review of fair housing practices of the Government-Sponsored Enterprise (GSE), other data analysis, lending patterns, indirect cost, court reporting, expert witness and courier service. Funding will support the following contractual requirements:
 - Approximately \$175K for review of fair housing GSEs practices. HUD is responsible for reviewing and commenting on the underwriting and appraisal guidelines of Fannie Mae and Freddie Mac, two major GSEs in the conventional secondary mortgage market. Approximately \$125K for continued contract services for research analysis and technical statistical support for fair lending review of Home Mortgage Disclosure Act (HMDA) data and specific lender investigations.
 - o Remaining funds will be used for court reporting, expert witnesses, and subpoena services for FHEO/HUD cases.
- FHEO requests \$101K in Training to maintain a highly skilled workforce to ensure that best practice concepts and state-of-the-art technology are used to accomplish its mission. Funding at this level is an increase of \$18K from fiscal year 2014 enacted.
- FHEO requests \$12K for Supplies. This is a \$2K increase from fiscal year 2014 enacted level.

Function Title: Immediate Office of Assistant Secretary (AS) and Policy Oversight

Function Description: Immediate Office of AS and Policy Oversight includes 43.5 FTE to perform all activities associated with General Direction of FHEO by senior management, to include overall direction of the Office of the Assistant Secretary, General Deputy Assistant Secretary (GDAS) and Deputy Assistant Secretary (DAS) of Operation and Management, strategic planning; collaboration and coordination with internal and external entities; budget; and administrative and clerical functions.

Immediate Office of Assistant Secretary and Policy Oversight					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$5,356	\$5,490	\$5,544	\$54	
Non-Personnel Services					
Travel	69	66	63	(3)	
Printing and Reproduction	5	2	5	3	
Other Services	31	35	39	4	
Training	15	16	18	2	
Supplies	2	2	2	-	
Non-Personnel Services Subtotal	122	121	127	6	
GRAND TOTAL	\$5,478	\$5,611	\$5,671	\$60	
Associated FTE	43.5	43.5	43.5	0.0	

- An allocation of 43.5 FTE to provide executive level support, human resource, budget, management and planning, operational management, and field oversight support to all FHEO functions. This is the same as fiscal year 2014 enacted level.
- FHEO requests \$63K for all Travel and oversight activities conducted by the executive leadership of FHEO and oversight of field operations to ensure optimal performance within the organization. This is a \$3K decrease from fiscal year 2014 enacted level.

- FHEO requests \$5K in funding for Printing. This is a \$3K increase above the fiscal year 2014 enacted level.
- FHEO requests \$39K in funding to support Other Services. This is a \$4K increase above fiscal year 2014 enacted level.
- FHEO requests \$18K to maintain a highly skilled workforce to ensure that best practice concepts and state-of-the-art technology are used to accomplish its mission. Funding at this level is an increase of \$2K above the fiscal year 2014 enacted level.

Function Title: Policy Development and Review

Function Description: Policy Development and Review ensures implementation of and compliance with Section 504, Title II of the Americans with Disabilities Act the Age Discrimination Act, and the Architectural Barriers Act. In addition, this function will develop civil rights related program requirements and fair housing standards; participate in the development, implementation, management, and administration of fair housing programs and affirmative fair housing marketing; directives relating to the administration of HUD programs; and manage funds for the Limited English Proficiency Initiative (LEPI). This includes oversight over HUDwide language interpretation service contract. These FTE will also provide technical assistance to the housing industry; prepare policy-related legislation, regulations, testimonies, speeches, and press releases.

Policy Development and Review					
(Dollars in Thousands)					
	FY 2013 FY 2014 FY 2015 FY 2014 FY 201				
Personnel Services	\$2,660	\$2,726	\$3,518	\$792	
Non-Personnel Services					
Travel	34	33	40	\$7	
Printing and Reproduction	-	3	5	\$2	
Training	8	8	12	\$4	
Supplies	1	1	1	-	
Non-Personnel Services Subtotal	43	45	58	13	
GRAND TOTAL	\$2,703	\$2,771	\$3,576	\$805	
Associated FTE	21.6	21.6	27.6	6.0	

- An allocation of 27.6 FTE to enforce HUD's Affirmatively Furthering Fair Housing Rule; develop technical assistance
 materials and training to support civil rights-related program requirements and compliance with fair housing standards; and
 ensure the implementation/compliance of Section 504, Title II of the Americans with Disabilities Act, the Age Discrimination
 Act and the Architectural Barriers Act. This is an increase of 6.0 FTE above fiscal year 2014 to support the increased
 workload associated with AFFH.
- FHEO requests \$40K in Travel an increase of \$7K above the fiscal year 2014 enacted level.
- FHEO requests \$5K in Printing an increase of 2K above the fiscal year 2014 enacted level.
- FHEO requests \$12K in Training to maintain a highly skilled workforce to ensure that best practice concepts and state-of-the-art technology are used to accomplish its mission. Funding at this level is an increase of \$4K from fiscal year 2014 enacted level.

Function Title: Education and Outreach

Function Description: Education and Outreach includes 17.0 FTE to develop procedures and strategies relating to the formation and implementation of FHEO's education and outreach activities. Because most of FHEO's Title VIII enforcement work is complaint-driven, it is essential that the public is aware of fair housing rights and responsibilities. These FTE perform activities designed to develop and implement education and outreach efforts to heighten public awareness of the forms of illegal housing discrimination and available remedies.

Education and Outreach					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$2,093	\$2,145	\$2,167	\$22	
Non-Personnel Services					
Travel	27	26	24	(\$2)	
Rent, Communications, Utilities	1	10	15	\$5	
Printing and Reproduction	2	5	5	-	
Training	5	6	7	\$1	
Supplies	1	1	1	-	
Non-Personnel Services Subtotal	36	48	52	4	
GRAND TOTAL	\$2,129	\$2,193	\$2,219	\$26	
Associated FTE	17.0	17.0	17.0	0.0	

FTE/Workload Summary & Summary of Change

• An allocation of 17.0 FTE to support almost 1,000 education and outreach events; develop procedures and strategies for the formation and implementation of FHEO's education and outreach activities; implement tracking mechanisms to evaluate the success of outreach strategies; and develop and update the FHEO website content.

- FHEO requests \$24K in Travel for activities designed to develop and implement education and outreach efforts to heighten public awareness of all forms of housing discrimination prohibited under the Fair Housing Act. This is a decrease of \$2K from fiscal year 2014 enacted level.
- FHEO requests \$15K for Rent, Communications, and Utilities. This is an increase of \$5K above the fiscal year 2014 enacted level.
- FHEO requests \$7K in Training to maintain a highly skilled workforce to ensure that best practice concepts and state-of-the-art technology are used to accomplish its mission. This is an increase of \$1K above the fiscal year 2014 enacted level.

Function Title: Fair Housing Initiatives Program (FHIP)

Function Description: Fair Housing Initiatives Program includes 27.7 FTE performing all activities associated with management and oversight of the competitive FHIP grant activities, including the FHIP Grants Award Process and Grants management activities. In fiscal year 2015, these FTE will review more than 400 grant applications and on a monthly basis manage over 200 grants and provide information technology planning and system development.

Fair Housing Initiatives Program (FHIP)					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$3,411	\$3,496	\$3,531	\$35	
Non-Personnel Services					
Travel	44	41	40	(1)	
Other Services	30	35	35	-	
Training	10	10	12	2	
Supplies	1	1	1	-	
Non-Personnel Services Subtotal	85	87	88	1	
GRAND TOTAL	\$3,496	\$3,583	\$3,619	\$36	
Associated FTE	27.7	27.7	27.7	0.0	

FTE/Workload Summary & Summary of Change

- An allocation of 27.7 FTE to review and evaluate 400 FHIP Grant applications and administer and manage over 251 FHIP Grants.
- FHEO requests \$40K in Travel for FHIP. This is a decrease of \$1K from fiscal year 2014 enacted level.
- FHEO requests \$12K in Training for FHIP. This is an increase of \$2K above the fiscal year 2014 enacted level.

Function Title: Fair Housing Assistance Program (FHAP)

Function Description: Fair Housing Assistance includes 31.9 FTE to provide oversight and management of FHEO's non-competitive funding program to substantially equivalent state and local government entities. In fiscal year 2015, these FTE will monitor approximately 90 agencies. This involves monitoring the performance of and provides technical assistance to agencies in the Fair Housing Assistance Program, in order to ensure these agencies are processing complaints in accordance with HUD standards and guidelines. This function also includes assisting new agencies to build their capacity to process housing discrimination complaints.

Fair Housing Assistance Program (FHAP)					
(Dollars in Thousands)					
	FY 2013	FY 2014	FY 2015	FY 2014 to FY 2015	
Personnel Services	\$4,753	\$4,468	\$4,066	(\$402)	
Non-Personnel Services					
Travel	61	53	46	(7)	
Training	13	13	13	-	
Supplies	2	2	2	-	
Non-Personnel Services Subtotal	76	68	61	(7)	
GRAND TOTAL	\$4,829	\$4,536	\$4,127	(\$409)	
Associated FTE	38.6	35.4	31.9	(3.5)	

- An allocation of 31.9 FTE to review more than 6,862 FHAP complaint cases, monitor the performance of and provide technical assistance to approximately 90 FHAP agencies, and assist new FHAP agencies in building their capacity to process housing discrimination complaints. This is a decrease of 3.5 FTE below fiscal year 2014 enacted level.
- FHEO requests \$46K in Travel to provide on-site monitoring and provide technical assistance to agencies in the Fair Housing Assistance Program to ensure these agencies are processing complaints in accordance with HUD standards and guidelines. This is a decrease of \$7K from fiscal year 2014 enacted level.